

Exhibit 4

2015 and 2019 dGRD Fund Application
and Subscription Agreement



Growth Runs Deep Fund Application & Subscription Agreement

RN: ☐ ☐ ☐ ☐ ☐
 IP: ☐ ☐ ☐ ☐ ☐ ☐
 A: ☐ ☐ ☐ ☐ ☐ ☐ ☐

FIFO QUEUE

Internal Use Only

SECTION A - ACCOUNT TYPE & INVESTOR INFORMATION

- ☒ NQ - INDIVIDUAL (A-1 Only) ☐ NQ - UGMA, UTMA or Custodial (A-1 & A-2)
☐ NQ - JOINT TENANT WITH RIGHT OF SURVIVORSHIP (A-1 & A-2) ☐ NQ - TRUST / ENTITY (A-1 & A-3; A-2 if applicable)
☐ NQ - JOINT TENANTS IN COMMON (A-1 & A-2) ☐ QUALIFIED - IRA / ROTH / SEP (A-1 & A-4)

A-1 PRIMARY INVESTOR

- ☒ Individual or IRA ☐ Spouse (Joint) ☐ Trustee ☐ Officer ☐ UTMA/UGMA Custodian

First MI Physical
 Last Mailing
 Sex Marital
 DOB SSN
 Drivers License (State/#) Home Phone
 Citizenship/Nationality Mobile Phone
 INVESTOR ID (Internal Use Only): Email Address

A-2 JOINT OR OTHER INVESTOR

- ☐ Spouse (Joint) ☐ Non-Spouse Individual (Joint) ☐ Trustee ☐ Officer ☐ UTMA/UGMA Minor

First MI Physical
 Last Suffix
 Sex Marital Mailing
 DOB SSN
 Drivers License (State/#) Home Phone () -
 Citizenship/Nationality Mobile Phone () -
 INVESTOR ID (Internal Use Only): Email Address

A-3 TRUST - ENTITY

- ☐ Revocable Trust ☐ Irrevocable Trust ☐ Corporation ☐ LLC ☐ LLP ☐ General Partnership ☐ Limited Partnership ☐ Other

Name Address
 Creation Date Situs State
 EIN Office () -
 INVESTOR ID (Internal Use Only): Fax () -

A-4 IRA - ROTH - SEP - INHERITED - OTHER QUALIFIED PLAN

- ☐ Traditional IRA ☐ ROTH ☐ SEP ☐ Inherited

- ☐ Traditional IRA or ROTH Contribution (Year:)
☐ SEP Contribution (current year only)
☐ Rollover (60 Day IRA Rollover from Traditional/ROTH/SEP) *
☐ Direct Rollover (from Traditional/ROTH/SEP) *
☐ Direct Transfer (from Traditional/ROTH/SEP) *
☐ ROTH Conversion *
☐ Recharacterized Contribution or Transfer *
☐ Inherited (Stretch) IRA *

* Irrevocable Election



Important guidelines:

- Checks/transfers must be made payable to 'Bank of Utah FBO Your Name IRA'
- A 5305 SEP Plan Agreement form is required for SEP accounts
- All qualified account set up & annual fees are paid by deeproot® Funds provided you maintain a combined minimum \$10,000 balance in your deeproot® Funds account; otherwise your account(s) will be charged the actual fee Bank of Utah charges per year.

Select One if Over 70-1/2 this Year

- ☐ I have already taken my RMD this year.
☐ I will take my RMD from another qualified account I own.
☐ The funds are from an IRA Owner who died this year.

**MINIMUM
PRINCIPAL**
\$25,000.00

NON-QUALIFIED ACCOUNTS

INITIAL AMOUNT \$ 100,000

FUNDS AVAILABLE

☐ Now ☒ On: 1/25/16

FUNDS FROM MATURED
ANNUITY.

QUALIFIED ACCOUNTS

CONTRIBUTION AMOUNT \$ _____

ROLLOVER AMOUNT \$ _____

DIRECT ROLLOVER AMOUNT \$ _____

DIRECT TRANSFER AMOUNT \$ _____

FUNDS AVAILABLE

☐ Now ☐ On: _____

SECTION C – BENEFICIARY ELECTIONS

All Accounts Except Trust or Entity

I (we) designate that upon my (our) death(s), the assets in this account be paid to the beneficiaries named below, subject to the terms of the Offering/PPM. The interest of any beneficiary that predeceases me (us) terminates completely, and the percentage share of any remaining beneficiaries will be increased on a pro rata basis. If no beneficiaries are named, the last investor to die's estate will be the beneficiary. The balance in the account will be payable to contingent beneficiaries only if all primary beneficiaries have predeceased the last investor to die. You may not designate beneficiaries if your ownership or account type is: Trust or Entity.

PRIMARY BENEFICIARIES *(The total percentage designated must equal 100%.)*

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

CONTINGENT BENEFICIARIES *(The total percentage designated must equal 100%.)*

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____
 Address _____
 City/State/ZIP _____
 Date of Birth _____ Relationship _____
 Tax ID (SSN/TIN) _____ Percent Designated _____

☐ Check here if additional beneficiaries are listed on an attached addendum. Total number of addendums attached to this Application _____

MARITAL CONSENT STATUS (REQUIRED WHERE SPOUSE IS NOT A JOINT OWNER AND NOT THE SOLE PRIMARY BENEFICIARY)

☒ I Am Not Married – I understand that if I become married in the future, I should review the requirements for spousal consent.

☐ I Am Married – I understand that if I choose to designate a primary beneficiary other than or in addition to my spouse, my spouse must consent.

For spouse: I am the spouse of the above-named owner. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Because of the important tax consequences of releasing any contingent, future rights, I have been advised to see a tax professional. I hereby grant the above-named owner any interest I may claim a right to in or to the assets or property deposited in this account and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. For witness: I personally was present

SECTION D – SUFFICIENCY FINANCIAL PROFILE and Subscription Agreement Pg. 4 of 14

Asset	Ownership	Name/Source/Institution	Value	Totals	
REAL PROPERTY					
Homestead	<input type="checkbox"/> I/JT <input checked="" type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
Other Real Estate	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$	
VEHICLES					
Cars/Trucks	<input checked="" type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
RVs/Boats/ATVs/Trailers	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$	
INVESTABLE ASSETS/ACCOUNTS					
CD's	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
Checking	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed		\$		
Savings	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed		\$		
Money Market	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$	
Brokerage	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed		\$		
Mutual Funds	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
Bonds	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
Alternatives	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$		
Metals	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed		\$		
Annuities	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed		\$		
Life Insurance Cash Value	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$	
IRA 1	<input type="checkbox"/> I <input checked="" type="checkbox"/> H <input type="checkbox"/> W		\$		
IRA 2	<input type="checkbox"/> I <input type="checkbox"/> H <input checked="" type="checkbox"/> W		\$		
ROTH 1	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W		\$		
ROTH 2	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$			
Other Retirement 1	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$			
Other Retirement 2	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	\$		
OTHER ASSETS					
Life Insurance Death Benefit(s)	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$		Do Not Include in Calculation	
Household Goods	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input checked="" type="checkbox"/> Mixed	\$			
Antiques/Collections & Jewelry	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$			
Burial Policy(ies)	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$		\$	
TOTAL ASSETS				\$	

LIABILITIES & EXPENSES

Balances of Mortgages	\$	
Balances of Vehicles/Other Liens	\$	
Balances of Personal Loans	\$	
Balances of Credit Cards	\$	
Other Debt Balances	\$	
TOTAL LIABILITIES:	\$	
Monthly Expenses	\$	

INCOME (Monthly)

Employment (net)	\$	
Pension/Retirement (net)	\$	
Social Security (net)	\$	
Rentals/Real Estate (gross)	\$	
Investments (net)	\$	
RMDs (gross)	\$	
Other Income (net)	\$	
TOTAL MONTHLY INCOME:	\$	

INTERNAL USE ONLY

NET WORTH: _____ INVESTABLE ASSETS: _____ ANN INC: _____ EMERGENCY: _____

NET MONTHLY INCOME: _____ RATIOS (D2E: _____ %, LIQ: _____ %, PERC2IA: _____ %)

Education (check all that apply)

☒ H.S. Diploma or G.E.D. ☐ Associates Degree ☐ Bachelors Degree ☐ Masters Degree ☐ JD, MD, PhD

Prior Investment Experience (check all that apply)

☒ Bank Account ☒ CD ☒ Stocks ☐ Bonds ☒ Mutual Funds ☐ Hedge Funds
☐ REIT ☒ Real Estate ☒ Annuities ☐ Commodities ☐ Options ☐ Life Insurance

Overall Risk Tolerance (check only one)

☐ Very Conservative ☒ Conservative ☐ Moderate ☐ Aggressive ☐ Very Aggressive

Financial Objectives from this Investment (check all that apply)

☒ Growth ☐ Growth, Followed by Income ☐ Tax-Deferred Growth ☐ Liquidity ☐ Guarantees Provided

Employment (check only one)

☐ Employed ☐ Self-Employed ☒ Retired ☐ Unemployed

Do you anticipate any significant changes to your income over the initial term?

☒ No Change ☐ Will Increase ☐ Will Decrease

Do you anticipate any significant changes to your expenses over the initial term?

☒ No Change ☐ Will Increase ☐ Will Decrease

Decision Making (check only one)

- ☐ I rely on an investment advisor or professional for all my investment decisions.
☒ I consult with an investment advisor or professional, but make my own investment decisions.
☐ I rely on my own investment knowledge and skill-set to make my own investment decisions.


Liquid or Emergency Assets Available (after this investment)

☐ None ☐ < \$5,000 ☐ \$5,000 - \$10,000 ☐ \$10,000 - \$25,000 ☐ \$25,000 - \$50,000 ☒ > \$50,000

Liquidity Needs (check only one)

- ☐ I will need access to all of this money during the initial term.
☐ I might need access to some of this money during the initial term.
☒ I do not need access to any of this money during the initial term.


Suitability Acknowledgements (must initial each)

 I understand that there is no liquidity permitted during the term of this investment, without fees/penalties.
I understand that if I am investing an IRA, I must take any RMD on this IRA from other IRA account(s).
I understand that if I die during the term, my heirs are subject to the same withdrawal rights as if I were alive.


SECTION F – CUSTODIAL AGREEMENT • INVESTMENT DIRECTION

(IRA / ROTH / QUALIFIED ONLY)

If you are opening a qualified account (i.e. Traditional IRA, Roth, SEP, Rollover, etc.), you must initial that you have received the following three documents, that you have read and been provided the opportunity to ask and receive questions concerning them, and that you are voluntarily proceeding with this Application and Subscription Agreement under the terms and provisions therein. If you are initiating a direct transfer, then you also need to fill out and submit the IRA TO IRA TRANSFER form. If you are converting a non-ROTH IRA to a ROTH IRA, you need to fill out and submit the ROTH CONVERSION form.

 INDIVIDUAL RETIREMENT CUSTODIAL ACCOUNT AGREEMENT & IRA FINANCIAL DISCLOSURE WORKSHEET
(Form 5305-A / Form 5305-SEP / Form 5305-RA)

 BANK OF UTAH – SELF DIRECTED ACCOUNT AGREEMENT

 LIMITED ACCOUNT ACCESS FORM (Original must be returned to us)

I understand that my IRA account is being designated as a self-directed account, and I alone am responsible for the selection, due diligence, management, review and retention of all investments in my account. I agree that Bank of Utah as Custodian is not a "fiduciary" for my account, as the term is defined by in the Internal Revenue Code, ERISA or any other applicable federal, state or local laws. Therefore, I hereby direct the Custodian, in a passive capacity, to enact this transaction for my account, in accordance with my agreement. I have read and received all pertinent information relating to the Preferred Shares being subscribed to and direct Custodian to immediately transfer the indicate sums (or rollovers or transfers upon receipt) to deeproot Funds, LLC for placement.

Investor Signature

Date

This Subscription Agreement is between deeproot Growth Runs Deep Fund, LLC (the "Company", or "dGRD") and the person executing this Application and Subscription Agreement (in the entirety, "Agreement") as an Investor of the securities ("Investor").

1 - General. This Agreement sets forth the terms under which Investor will purchase Class B Shares from the Company. This Subscription Agreement is one of a limited number of subscriptions for Class B Shares offered to a limited number of suitable investors on behalf of the Company. Execution of this Agreement by the Investor shall constitute confirmation of such's offer to purchase the Class B Shares in the amount and on the terms and conditions specified herein. The Company shall have the right to reject such subscription offer or, by executing a copy of this Agreement, to accept such offer. If Investor's subscription is accepted, the Company will execute a copy of this Agreement and return it to Investor along with a duly authenticated Share Certificate.

2 - Subscription Amount and Payment. Investor hereby subscribes for and agrees to purchase an equivalent sum of Class B shares from the Principal Amount as indicated in Section B herein.

3 - Description of Offering. The Company will be offering up to \$25,000,000 aggregate principal amount of Class B Shares (the "Offering"). The Offering will be continuous due to the renewable nature of the Class B Shares.

4 - Subscriptions and Closing. Investor agrees that this subscription is and shall be irrevocable by the Investor, but that the Investor's obligation hereunder will terminate if not accepted by the Company. The Company expressly reserves the right to refuse to accept the subscription provided in this Agreement.

5 - Covenants of the Company. The Company covenants and agrees that (upon request) the Company shall make available to the Investor reports or information as enumerated information in the Offering documents.

6 - Indemnification. Investor agrees to indemnify, defend and hold harmless the Company, its officers, directors, employees and agents from and against any liability, claim, loss or expense, including without limitation reasonable attorneys' fees, if the Investor, alone or with others, defaults in or has misrepresented any of its foregoing representations or warranties.

7 - Miscellaneous. This Agreement, any amendments or replacements hereof, and the legality, validity and performance of the terms hereof, shall be governed by and enforced, determined and construed in accordance with the laws of the State of Texas applicable to contracts, transactions and obligations entered into and to be performed in Texas. Furthermore, this Agreement and the rights, powers and duties set forth herein shall, except as set forth herein, bind and inure to the benefit of the heirs, executors, administrators, legal representatives, successors and assigns of the parties hereto. Investor may not assign any of Investor's rights or interests in and under this Agreement without the prior written consent of the Company, and any attempted assignment without such consent shall be void and without effect.

8 - Construction. When the context requires, singular nouns and pronouns include the plural.

9 - Investor's Representations and Warranties. By the respective initials, Investor represents, warrants and covenants to the Company as follows (provide an initial, or set of initials, for each of the 15 paragraphs):

i. [REDACTED] I (we) have received copies of the Offering documents (the "PPM") dated August 3, 2015, including all Exhibits thereto, and am (are) familiar with the contents thereof and understand the information and related assumptions therein.

ii. [REDACTED] I (we) are acquiring the Class B Shares subscribed for herein solely based upon the information contained in the PPM and this Agreement and not upon reliance on any other information or representation communicated to me (us).

iii. [REDACTED] I (we) have sufficient knowledge and experience in business and financial matters, that I (we) am (are) capable of evaluating the Company and the proposed activities thereof, that the risks and merits of this prospective investment are suitable for me (us) by and through my (our) assertions in Sections D & E, whether or not I (we) am (are) relying on the advice of a representative.

iv. [REDACTED] While I (we) may have received professional advice from the following designated representative, I (we) have nonetheless evaluated the risks and merits of this prospective investment myself (ourselves). I (we) specifically authorize, unless revoked hereafter in writing, that you may disclose any or all information about my (our) account to such individual; provided, however, that I (we) do not authorize such designated representative to make any account changes, execute trades, or request withdrawals.

Representative:

Entity (if any):

Address:

Occupation:

Licenses (if any):

v. [REDACTED] I (we) have previously been advised that I (we) would have an opportunity to review all the pertinent facts concerning the Company, and to obtain any additional information which I (we) might request, to the extent possible or obtainable, without unreasonable effort and expense, in order to verify the accuracy of the information presented to us by the Company; and I (we) have obtained and reviewed all such due diligence.

vi. [REDACTED] I (we) affirm that I (we) understand the liquidity provisions of this Offering. I (we) further affirm that I am (we are) able to leave the invested principal for an indefinite term without need for a lump-sum withdrawal of any Invested Capital, or Priority Return(s).

vii. [REDACTED] I (we) affirm that we understand the rules and terms that govern the control and disposition of Class B Shares upon the death of one (or more) Investors. I (we) further affirm that the Company retains the right to request any documentation necessary to confirm a death or the qualification of a successor or beneficiary, and absent a court order, retains the sole and absolute discretion of permitting such successor or beneficiary to assume the Decedent Investor's powers or interest(s).

viii. [REDACTED] I (we) have been advised to consult with my (our) attorney regarding legal matters concerning the Company and my (our) tax advisor regarding the tax consequences of participating in the Offering.

ix. [REDACTED] I (we) acknowledge that I (we) must bear the economic risk of the investment for the term of the Offering since the Class B Shares cannot be sold, transferred or assigned to any person or entity, except by compliance with the provisions of the Securities Act of 1933 and application state Blue Sky or securities laws. In other words, I (we) understand there is no market for the Class B Shares and that none may develop and, therefore, that I (we) must bear the economic risks of the investment for an indefinite term.

x. [REDACTED] I (we) represent that I (we) am (are) the sole party in interest as to my (our) participation in and commitment to the Company and am (are) acquiring the Class B Shares solely for investment for my (our) own account, have no present agreement, understanding, arrangement or intent to subdivide, sell, assign, transfer or otherwise dispose of all or any part of my (our) Class B Shares to any other person, do not have in mind any sale of my (our) Class B Shares either currently or after the passage of a fixed or

determinable period of time or upon the occurrence or nonoccurrence of any predetermined event or circumstance, have no present or contemplated agreement, undertaking, arrangement, obligation, indebtedness or commitment providing for or which is likely to compel a disposition of my (our) Class B Shares, and am (are) not aware of any circumstance presently in existence which are likely in the future to prompt a disposition of the Class B Shares.

xi. [REDACTED] I (we) understand the speculative nature of investing in the Company and acquiring the Class B Shares, and I (we) hereby affirm that I (we) am (are) in a financial position to handle a loss of principal and/or return in the unforeseen circumstance of a default.

xii. [REDACTED] I (we) understand the terms regarding the FIFO Queue, Mandatory Calls; Sources and Uses of Investments; expenses and fees; as well as my (our) responsibility for advisor compensation and IRA fees (if applicable).

xiii. [REDACTED] I (we) hereby agree to indemnify the Company and hold the Company harmless from and against any and all liability damage, cost or expense incurred on account of or arising out of:

(i) any inaccuracy in my (our) declarations, representations, and covenants hereinabove set forth;

(ii) the disposition of any of the Class B Shares which I (we) will receive, contrary to my (our) foregoing declarations, representations, and covenants; and/or

(iii) any action, suit, or proceeding based upon (1) the claim that said declarations, representations, and covenants were inaccurate or misleading or otherwise cause for obtaining damages or redress from the Company or (2) the disposition of any of the Class B Shares or any part thereof.

xiv. [REDACTED] I (we) acknowledge that I (we) qualify as an accredited investor under the prerequisites set forth in the PPM, or that I (we), with approval of the Company, are applying for a limited number of non-accredited slots, and this Offering is otherwise suitable.

xv. [REDACTED] I (we) understand that any communication or administrative functions of the Company shall be handled by and through deeproot Funds, LLC as the assignee administrator of all aspects and functions of any interest(s) I (we) hold.

SECTION H – ACKNOWLEDGMENTS & EXECUTION

IN WITNESS WHEREOF, the Investor(s) hereto have understood, agreed and executed this deeproot Growth Runs Deep Fund Application and Subscription Agreement on the day, month and year so signed. **FURTHERMORE IF YOU ARE OPENING AN IRA OR QUALIFIED PLAN:** I understand the eligibility requirements for the type of IRA deposit I am making, and I state that I do qualify to make the deposit. I have received a copy of the Custodial Account Agreement (Form 5305-A / Form 5305-SEP / Form 5305-RA), the Financial Disclosure, and the Disclosure Statement. I understand that the terms and conditions that apply to this IRA are contained in this Application and the Custodial Account Agreement. I agree to be bound by those terms and conditions. I assume complete responsibility for: (i) determining that I am eligible for an IRA each year I make a contribution; (ii) ensuring that all contributions I make are within the limits set forth by the tax laws; and (iii) the tax consequences of any contributions (including rollover contributions) and distributions.

Investor Signature

Date

Investor Signature

Date

Printed Name of Investor & Title of Investor (if applicable)

Printed Name of Investor & Title of Investor (if applicable)

SECTION I – SUBSTITUTE FORM W-9 & PATRIOT ACT COMPLIANCE

Under penalties of perjury, the primary investor or entity herein, certifies by such signature below, that the EIN or SSN indicated below and on page one of this document: i) is correct; ii) that the primary investor or entity is not subject to backup withholding due to failure to report interest and dividend income; iii) that the primary investor is a U.S. person or entity is a US based entity; & iv) the primary investor or entity is exempt from FATCA reporting. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.



Color Copy or Photo of Drivers License(s) Required

Please enclose or email to contact@deeprootfunds.com

Date

SSN/EIN/TIN of Primary Investor or Entity

SECTION J – COMPANY/CUSTODIAN ACCEPTANCE

ACCEPTED BY:

Officer, deeproot Growth Runs Deep Fund, LLC Date

Officer, Bank of Utah (if qualified)

Date



Growth Runs Deep Fund Application & Subscription Agreement

RN: ☐ ☐ ☐ ☐ ☐
 QP: ☐ ☐ ☐ ☐ - ☐ ☐ ☐ ☐ ; ☐ ☐ ☐ ☐
 A: ☐ W ☐ G ☐ X ☐ 9 ☐ 3 ☐ R

Internal Use Only

SECTION A – ACCOUNT TYPE & INVESTOR INFORMATION

- ☐ NQ - INDIVIDUAL (A-1 Only) ☐ NQ - UGMA, UTMA or Custodial (A-1 & A-2)
☐ NQ - JOINT TENANT WITH RIGHT OF SURVIVORSHIP (A-1 & A-2) ☐ NQ - TRUST / ENTITY (A-1 & A-3; A-2 if applicable)
☐ NQ - JOINT TENANTS IN COMMON (A-1 & A-2) ☐ QUALIFIED - IRA / ROTH / SEP (A-1 & A-4)

A-1 PRIMARY INVESTOR

☐ Individual or IRA ☐ Spouse (Joint) ☐ Trustee ☐ Officer ☐ UTMA/UGMA Custodian

First _____ MI _____ Physical _____
 Last _____ Suffix _____
 Sex _____ Marital _____ Mailing _____
 DOB _____ SSN _____
 Drivers License (State/#) _____ Home Phone (_____) _____ - _____
 Citizenship/Nationality _____ Mobile Phone (_____) _____ - _____
 INVESTOR ID (Internal Use Only): _____ Email Address _____

A-2 JOINT OR OTHER INVESTOR

☐ Spouse (Joint) ☐ Non-Spouse Individual (Joint) ☐ Trustee ☐ Officer ☐ UTMA/UGMA Minor

First _____ MI _____ Physical _____
 Last _____ Suffix _____
 Sex _____ Marital _____ Mailing _____
 DOB _____ SSN _____
 Drivers License (State/#) _____ Home Phone (_____) _____ - _____
 Citizenship/Nationality _____ Mobile Phone (_____) _____ - _____
 INVESTOR ID (Internal Use Only): _____ Email Address _____

A-3 TRUST • ENTITY

☐ Revocable Trust ☐ Irrevocable Trust ☐ Corporation ☐ LLC ☐ LLP ☐ General Partnership ☐ Limited Partnership ☐ Other

Name _____ Address _____
 Creation Date _____ Situs State _____
 EIN _____ Office (_____) _____ - _____
 INVESTOR ID (Internal Use Only): _____ Fax (_____) _____ - _____

A-4 IRA • ROTH • SEP • INHERITED • OTHER QUALIFIED PLAN

CUSTODIAN: BANK OF UTAH

50 South 200 East, Salt Lake City, UT 84111
 Phone: 801.924.3623

Important guidelines:

- Checks/transfers must be made payable to 'Bank of Utah FBO Your_Name IRA'
- A 5305 SEP Plan Agreement form is required for SEP accounts
- All qualified account set-up & annual fees are advanced by deeproot Funds and are deducted from your account as outlined in the PPM. Accounts over \$400k may have their qualified fees waived with written approval.

☐ Traditional IRA ☐ ROTH ☐ SEP ☐ Inherited

- ☐ Traditional IRA or ROTH Contribution (Year: _____)
☐ SEP Contribution (current year only)
☐ Rollover (60 Day IRA Rollover from Traditional/ROTH/SEP) *
☐ Direct Rollover (from Traditional/ROTH/SEP) *
☐ Direct Transfer (from Traditional/ROTH/SEP) *
☐ ROTH Conversion *
☐ Recharacterized Contribution or Transfer *
☐ Inherited (Stretch) IRA *

* Irrevocable Election

Select One if Over 70½ this Year

- ☐ I have already taken my RMD this year.
☐ I will take my RMD from another qualified account I own.
☐ The funds are from an IRA Owner who died this year.

SECTION B – PRINCIPAL

See Funding Procedures Addendum

**MINIMUM
PRINCIPAL**
\$100,000.00

1 Class B Share = \$25,000.00
Fractional Shares are permitted.

NON-QUALIFIED ACCOUNTS

INITIAL AMOUNT \$ _____

FUNDS AVAILABLE

☐ Now ☐ On: _____

QUALIFIED ACCOUNTS

CONTRIBUTION AMOUNT \$ _____

ROLLOVER AMOUNT \$ _____

DIRECT ROLLOVER AMOUNT \$ _____

DIRECT TRANSFER AMOUNT \$ _____

FUNDS AVAILABLE

☐ Now ☐ On: _____

SECTION C – BENEFICIARY ELECTIONS

All Accounts Except Trust or Entity

I (we) designate that upon my (our) death(s), the assets in this account be paid to the beneficiaries named below, subject to the terms of the Offering/PPM. The interest of any beneficiary that predeceases me (us) terminates completely, and the percentage share of any remaining beneficiaries will be increased on a pro rata basis. If no beneficiaries are named, the last investor to die's estate will be the beneficiary. The balance in the account will be payable to contingent beneficiaries only if all primary beneficiaries have predeceased the last investor to die. **You may not designate beneficiaries if your ownership or account type is: Trust or Entity.**

PRIMARY BENEFICIARIES (The total percentage designated must equal 100%.)

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

CONTINGENT BENEFICIARIES (The total percentage designated must equal 100%.)

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

Name _____

Address _____

City/State/ZIP _____

Date of Birth _____ Relationship _____

Tax ID (SSN/TIN) _____ Percent Designated _____

☐ Check here if additional beneficiaries are listed on an attached addendum. Total number of addendums attached to this Application _____

MARITAL CONSENT STATUS (REQUIRED WHERE SPOUSE IS NOT A JOINT OWNER AND NOT THE SOLE PRIMARY BENEFICIARY)

☐ I Am Not Married – I understand that if I become married in the future, I should review the requirements for spousal consent.

☐ I Am Married – I understand that if I choose to designate a primary beneficiary other than or in addition to my spouse, my spouse must consent.

For spouse: I am the spouse of the above-named owner. I acknowledge that I have received a fair and reasonable disclosure of my spouse's property and financial obligations. Because of the important tax consequences of releasing any contingent, future rights, I have been advised to see a tax professional. I hereby grant the above-named owner any interest I may claim a right to in or to the assets or property deposited in this account and consent to the beneficiary designation(s) indicated above. I assume full responsibility for any adverse consequences that may result. For witness: I personally was present and witnessed the undersigned Spouse sign below.

Signature of Spouse

Date

Signature of Witness

Date

SECTION D – SUITABILITY: FINANCIAL PROFILE

Required for all accounts except Entity

Asset	Ownership	Name/Source/Institution	Value	Totals
REAL PROPERTY				
<small>I – Individual JT – Joint H – Husband W – Wife</small>				
Homestead	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	
Other Real Estate	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$
VEHICLES				
Cars/Trucks	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	
RVs/Boats/ATVs/Trailers	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed		\$	\$
INVESTABLE ASSETS/ACCOUNTS				
NO BANKING	CD's	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Checking	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Savings	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Money Market	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	\$
	Brokerage	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Mutual Funds	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Bonds	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Alternatives	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Metals	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
	Annuities	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
NO INVESTMENTS	Life Insurance Cash Value	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	\$
	IRA 1	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	
	IRA 2	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	
	ROTH 1	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	
	ROTH 2	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	
	Other Retirement 1	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	
	Other Retirement 2	<input type="checkbox"/> I <input type="checkbox"/> H <input type="checkbox"/> W	\$	\$
	OTHER ASSETS			
	Life Insurance Death Benefit(s)	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	<i>Do Not Include in Calculation</i>
	Household Goods	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$	
Antiques/Collections & Jewelry	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$		
Burial Policy(ies)	<input type="checkbox"/> I/JT <input type="checkbox"/> H <input type="checkbox"/> W <input type="checkbox"/> Mixed	\$		
TOTAL ASSETS				\$

LIABILITIES & EXPENSES	
Balances of Mortgages	\$
Balances of Vehicles/Other Liens	\$
Balances of Personal Loans	\$
Balances of Credit Cards	\$
Other Debt Balances	\$
TOTAL LIABILITIES:	\$
Monthly Expenses	\$

INCOME (Monthly)	
Employment (net)	\$
Pension/Retirement (net)	\$
Social Security (net)	\$
Rentals/Real Estate (gross)	\$
Investments (net)	\$
RMDs (gross)	\$
Other Income (net)	\$
TOTAL MONTHLY INCOME:	\$

INTERNAL USE ONLY

NET WORTH: _____ INVESTABLE ASSETS: _____ ANN INC: _____ EMERGENCY: _____

NET MONTHLY INCOME: _____ RATIOS (D2E: _____%, LIQ: _____%, PERC2IA: _____%)

SECTION E – SUITABILITY: PRIMARY INVESTOR PROFILE

Required for all accounts except Entity

Education (check all that apply)

☐ H.S. Diploma or G.E.D. ☐ Associates Degree ☐ Bachelors Degree ☐ Masters Degree ☐ JD, MD, PhD

Prior Investment Experience (check all that apply)

☐ Bank Account ☐ CD ☐ Stocks ☐ Bonds ☐ Mutual Funds ☐ Hedge Funds
☐ REIT ☐ Real Estate ☐ Annuities ☐ Commodities ☐ Options ☐ Life Insurance

Overall Risk Tolerance (check only one)

☐ Very Conservative ☐ Conservative ☐ Moderate ☐ Aggressive ☐ Very Aggressive

Financial Objectives from this Investment (check all that apply)

☐ Growth ☐ Growth, Followed by Income ☐ Tax-Deferred Growth ☐ Liquidity ☐ Guarantees Provided

Employment (check only one)

☐ Employed ☐ Self-Employed ☐ Retired ☐ Unemployed

Do you anticipate any significant changes to your income over the initial term?

☐ No Change ☐ Will Increase ☐ Will Decrease

Do you anticipate any significant changes to your expenses over the initial term?

☐ No Change ☐ Will Increase ☐ Will Decrease

Decision Making (check only one)

- ☐ I rely on an investment advisor or professional for all my investment decisions.
☐ I consult with an investment advisor or professional, but make my own investment decisions.
☐ I rely on my own investment knowledge and skill-set to make my own investment decisions.

Liquid or Emergency Assets Available (after this investment)

☐ None ☐ < \$5,000 ☐ \$5,000 - \$10,000 ☐ \$10,000 - \$25,000 ☐ \$25,000 - \$50,000 ☐ > \$50,000

Liquidity Needs (check only one)

- ☐ I will need access to all of this money during the initial term.
☐ I might need access to some of this money during the initial term.
☐ I do not need access to any of this money during the initial term.

Suitability Acknowledgements (must initial each)

- _____ I understand that there is no liquidity permitted during the term of this investment, without fees/penalties.
_____ I understand that if I am investing an IRA, I must take any RMD on this IRA from other IRA account(s).
_____ I understand that if I die during the term, my heirs are subject to the same withdrawal rights as if I were alive.

SECTION F – CUSTODIAL AGREEMENT • INVESTMENT DIRECTION

(IRA / ROTH / QUALIFIED ONLY)

If you are opening a qualified account (i.e. Traditional IRA, Roth, SEP, Rollover, etc.), you must initial that you have received the following three documents, that you have read and been provided the opportunity to ask and receive questions concerning them, and that you are voluntarily proceeding with this Application and Subscription Agreement under the terms and provisions therein. If you are initiating a direct transfer, then you also need to fill out and submit the IRA TO IRA TRANSFER form. If you are converting a non-ROTH IRA to a ROTH IRA, you need to fill out and submit the ROTH CONVERSION form.

_____ Initials _____ INDIVIDUAL RETIREMENT CUSTODIAL ACCOUNT AGREEMENT & IRA FINANCIAL DISCLOSURE WORKSHEET
(Form 5305-A / Form 5305-SEP / Form 5305-RA)

_____ Initials _____ BANK OF UTAH – SELF DIRECTED ACCOUNT AGREEMENT

_____ Initials _____ LIMITED ACCOUNT ACCESS FORM (Original must be returned to us)

I understand that my IRA account is being designated as a self-directed account, and I alone am responsible for the selection, due diligence, management, review and retention of all investments in my account. I agree that Bank of Utah as Custodian is not a “fiduciary” for my account, as the term is defined by in the Internal Revenue Code, ERISA or any other applicable federal, state or local laws. Therefore, I hereby direct the Custodian, in a passive capacity, to enact this transaction for my account, in accordance with my agreement. I have read and received all pertinent information relating to the Preferred Shares being subscribed to and direct Custodian to immediately transfer the indicate sums (or rollovers or transfers upon receipt) to deeproot Funds, LLC for placement.

Investor Signature

Date

SECTION G – SUBSCRIPTION AGREEMENT

Investor(s) must initial/complete all 15 entries

This Subscription Agreement is between deeproot Growth Runs Deep Fund, LLC (the "Company", or "dGRD") and the person executing this Application and Subscription Agreement (in the entirety, "Agreement") as an Investor of the securities ("Investor").

1 - General. This Agreement sets forth the terms under which Investor will purchase Class B Shares from the Company. This Subscription Agreement is one of a limited number of subscriptions for Class B Shares offered to a limited number of suitable Investors on behalf of the Company. Execution of this Agreement by the Investor shall constitute confirmation of such's offer to purchase the Class B Shares in the amount and on the terms and conditions specified herein. The Company shall have the right to reject such subscription offer or, by executing a copy of this Agreement, to accept such offer. If Investor's subscription is accepted, the Company will execute a copy of this Agreement and return it to Investor along with a duly authenticated Share Certificate.

2 - Subscription Amount and Payment. Investor hereby subscribes for and agrees to purchase an equivalent sum of Class B shares from the Principal Amount as indicated in Section B herein.

3 - Description of Offering. The Company will be offering up to \$50,000,000 aggregate principal amount of Class B Shares for the 2019 Version of the "Offering" dated September 16, 2019. The Offering will be continuous due to the renewable nature of the Class B Shares.

4 - Subscriptions and Closing. Investor agrees that this subscription is and shall be irrevocable by the Investor, but that the Investor's obligation hereunder will terminate if not accepted by the Company. The Company expressly reserves the right to refuse to accept the subscription provided in this Agreement.

5 - Covenants of the Company. The Company covenants and agrees that (upon request) the Company shall make available to the Investor reports or information as enumerated information in the Offering documents.

6 - Indemnification. Investor agrees to indemnify, defend and hold harmless the Company, its officers, directors, employees and agents from and against any liability, claim, loss or expense, including without limitation reasonable attorneys' fees, if the Investor, alone or with others, defaults in or has misrepresented any of its foregoing representations or warranties.

7 - Miscellaneous. This Agreement, any amendments or replacements hereof, and the legality, validity and performance of the terms hereof, shall be governed by and enforced, determined and construed in accordance with the laws of the State of Texas applicable to contracts, transactions and obligations entered into and to be performed in Texas. Furthermore, this Agreement and the rights, powers and duties set forth herein shall, except as set forth herein, bind and inure to the benefit of the heirs, executors, administrators, legal representatives, successors and assigns of the parties hereto. Investor may not assign any of Investor's rights or interests in and under this Agreement without the prior written consent of the Company, and any attempted assignment without such consent shall be void and without affect.

8 - Construction. When the context requires, singular nouns and pronouns include the plural.

9 - Investor's Representations and Warranties. By the respective initials, Investor represents, warrants and covenants to the Company as follows (provide at least one initial, or two for joint accounts, for each of the 15 paragraphs):

i. _____ I (we) have received copies of the Offering documents (the "PPM") dated September 16, 2019, including all Exhibits thereto, and am (are) familiar with the contents thereof and understand the information and related assumptions therein.

ii. _____ I (we) are acquiring the Class B Shares subscribed for herein solely based upon the information contained in the PPM and this Agreement and not upon reliance on any other information or representation communicated to me (us).

iii. _____ I (we) have sufficient knowledge and experience in business and financial matters, that I (we) am (are) capable of evaluating the Company and the proposed activities thereof, that the risks and merits of this prospective investment are suitable for me (us) by and through my (our) assertions in Sections D & E, whether or not I (we) am (are) relying on the advice of a representative.

iv. _____ Regardless of having received professional advice from the following representative, I (we) have nonetheless evaluated the risks and merits of this prospective investment myself (ourselves). I (we) specifically authorize, unless revoked hereafter in writing, that you may disclose any or all information about my (our) account to such individual; provided, however, that I (we) do not authorize such designated representative to make any account changes, execute trades, or request withdrawals. I (we) further acknowledge receiving notice that such representative is receiving the indicated fee or commission, paid by the Company under the terms of the PPM.

Representative: _____
Entity (if any): _____
Address: _____

Finder Fee: \$ _____ , _____ . _____
Commission: \$ _____ , _____ . _____

v. _____ I (we) have previously been advised that I (we) would have an opportunity to review all the pertinent facts concerning the Company, and to obtain any additional information which I (we) might request, to the extent possible or obtainable, without unreasonable effort and expense, in order to verify the accuracy of the information presented to us by the Company; and I (we) have obtained and reviewed all such due diligence.

vi. _____ I (we) affirm that I (we) understand the liquidity provisions of this Offering. I (we) further affirm that I am (we are) able to leave the invested principal for an indefinite term without need for a lump-sum withdrawal of any Invested Capital, or Priority Return(s).

vii. _____ I (we) affirm that we understand the rules and terms that govern the control and disposition of Class B Shares upon the death of one (or more) Investors. I (we) further affirm that the Company retains the right to request any documentation necessary to confirm a death or the qualification of a successor or beneficiary, and absent a court order, retains the sole and absolute discretion of permitting such successor or beneficiary to assume the Decedent Investor's powers or interest(s).

viii. _____ I (we) have been advised to consult with my (our) attorney regarding legal matters concerning the Company and my (our) tax advisor regarding the tax consequences of participating in the Offering.

ix. _____ I (we) acknowledge that I (we) must bear the economic risk of the investment for the term of the Offering since the Class B Shares cannot be sold, transferred or assigned to any person or entity, except by compliance with the provisions of the Securities Act of 1933 and application state Blue Sky or securities laws. In other words, I (we) understand there is no market for the Class B Shares and that none may develop and, therefore, that I (we) must bear the economic risks of the investment for an indefinite term.

x. _____ I (we) represent that I (we) am (are) the sole party in interest as to my (our) participation in and commitment to the Company and am (are) acquiring the Class B Shares solely for investment for my (our) own account, have no present agreement, understanding, arrangement or intent to subdivide, sell, assign,

transfer or otherwise dispose of all or any part of my (our) Class B Shares to any other person, do not have in mind any sale of my (our) Class B Shares either currently or after the passage of a fixed or determinable period of time or upon the occurrence or nonoccurrence of any predetermined event or circumstance, have no present or contemplated agreement, undertaking, arrangement, obligation, indebtedness or commitment providing for or which is likely to compel a disposition of my (our) Class B Shares, and am (are) not aware of any circumstance presently in existence which are likely in the future to prompt a disposition of the Class B Shares.

xi. _____ I (we) understand the speculative nature of investing in the Company and acquiring the Class B Shares, and I (we) hereby affirm that I (we) am (are) in a financial position to handle a loss of principal and/or return in the unforeseen circumstance of a default.

xii. _____ I (we) understand the terms regarding the FIFO Queue, Mandatory Calls; Sources and Uses of Investments; expenses and fees; as well as my (our) responsibility for advisor compensation and IRA fees (if applicable).

xiii. _____ I (we) hereby agree to indemnify the Company and hold the Company harmless from and against any and all liability

damage, cost or expense incurred on account of or arising out of:

(i) any inaccuracy in my (our) declarations, representations, and covenants herein above set forth;

(ii) the disposition of any of the Class B Shares which I (we) will receive, contrary to my (our) foregoing declarations, representations, and covenants; and/or

(iii) any action, suit, or proceeding based upon (1) the claim that said declarations, representations, and covenants were inaccurate or misleading or otherwise cause for obtaining damages or redress from the Company or (2) the disposition of any of the Class B Shares or any part thereof.

xiv. _____ I (we) acknowledge that I (we) qualify as an accredited investor under the prerequisites set forth in the PPM, or that I (we), with approval of the Company, are applying for a limited number of non-accredited slots, and this Offering is otherwise suitable.

xv. _____ I (we) understand that any communication or administrative functions of the Company shall be handled by and through deeproot Funds, LLC as the assignee administrator of all aspects and functions of any interest(s) I (we) hold.

SECTION H – ACKNOWLEDGMENTS & EXECUTION

IN WITNESS WHEREOF, the Investor(s) hereto have understood, agreed and executed this deeproot Growth Runs Deep Fund Application and Subscription Agreement on the day, month and year so signed. ***** IF YOU HAVE BEEN REFERRED OR HELPED BY A REPRESENTATIVE, YOU MUST FILL OUT SECTION G, ¶iv *****

FURTHERMORE IF YOU ARE OPENING AN IRA OR QUALIFIED PLAN: I understand the eligibility requirements for the type of IRA deposit I am making, and I state that I do qualify to make the deposit. I have received a copy of the Custodial Account Agreement (Form 5305-A / Form 5305-SEP / Form 5305-RA), the Financial Disclosure, and the Disclosure Statement. I understand that the terms and conditions that apply to this IRA are contained in this Application and the Custodial Account Agreement. I agree to be bound by those terms and conditions. I assume complete responsibility for: (i) determining that I am eligible for an IRA each year I make a contribution; (ii) ensuring that all contributions I make are within the limits set forth by the tax laws; and (iii) the tax consequences of any contributions (including rollover contributions) and distributions.

Investor Signature _____ Date _____

Investor Signature _____ Date _____

Printed Name of Investor & Title of Investor (if applicable) _____

Printed Name of Investor & Title of Investor (if applicable) _____

SECTION I – SUBSTITUTE FORM W-9 & PATRIOT ACT COMPLIANCE

Under penalties of perjury, the primary investor or entity herein, certifies by such signature below, that the EIN or SSN indicated below and on page one of this document: i) is correct; ii) that the primary investor or entity is not subject to backup withholding due to failure to report interest and dividend income; iii) that the primary investor is a U.S. person or entity is a US based entity; & iv) the primary investor or entity is exempt from FATCA reporting. The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.



Color Copy or Photo of
Drivers License(s) Required

Please enclose or email to
contact@deeprootfunds.com

Primary Investor Signature _____ Date _____

SSN/EIN/TIN of Primary Investor or Entity _____

SECTION J – COMPANY/CUSTODIAN ACCEPTANCE

ACCEPTED BY:

Officer, deeproot Growth Runs Deep Fund, LLC Date _____
dGRD-APP / Rev 2019.H1 © 2013-2019 + deeproot Funds

Officer, Bank of Utah (if qualified) Date _____
12621 Silicon Dr • San Antonio, TX 78249 • (888) 316-2935

Date _____
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KEEP FOR YOUR RECORDS

ALL PAPERWORK & CHECKS SHOULD BE SENT to deeproot Funds, LLC.

Physical/Overnight: deeproot Funds, LLC • 12621 Silicon Dr • San Antonio, TX 78249

Mailing: deeproot Funds, LLC • P.O. Box 691610 • San Antonio, TX 78269-1610

FUNDING FOR NON-QUALIFIED ACCOUNTS

**ALL NON-QUALIFIED MONEY SHOULD BE WIRED
OR CHECKS MADE PAYABLE to 'deeproot Funds'.**

*Note: There is a \$250 processing fee for any
deposit not a wire or Cashier's check.*

Bank Name: Wells Fargo Bank, NA
Branch Address: 12003 Vance Jackson Rd.
San Antonio, TX 78230
Branch Phone: (210) 856-8507

Bank Routing No. 121000248

Account Name: deeproot Funds, LLC
Account Address: P.O. Box 691610
San Antonio, TX 78269-1610
Account Phone: (888) 316-2935

Account No.: 9688682385

FBO/MEMO: Your Name

FUNDING FOR QUALIFIED ACCOUNTS

**ALL CONTRIBUTION OR ROLLOVER CHECKS SHOULD BE
MADE PAYABLE TO: 'Bank of Utah FBO your name IRA'**

For direct transfers, please fill out and submit an IRA to
IRA Transfer form.

For Roth conversions, please fill out and submit a Roth
Conversion form.

PRIVACY NOTICE

The **deeproot®** family of companies is committed to maintaining the confidentiality, integrity, and security of personal information about our current and prospective customers. Please note that certain details of this policy may depend on whether you deal with us through an investment professional or directly as an individual investor. Our privacy policies are reviewed annually. Our printed and online notices are then updated to reflect any changes.

How and why we obtain personal information

deeproot® may use personal information about you to service and maintain your account; respond to inquiries from you or your representative; develop, offer, and deliver products and services; or to fulfill legal and regulatory requirements. **deeproot®** may collect public and non-public personal information about you from any of the following sources: You or your representative on applications or forms; Customer Service interactions from you or your representative regarding your preferences; Information from other third-party data services; or Other sources with your consent or with the consent of your representative.

How we protect information about you and who we share information with

deeproot® considers the protection of personal information to be a foundation of customer trust and a sound business practice. We employ physical, electronic and procedural controls and we regularly adapt these controls to respond to changing requirements and advances in technology. We restrict access to personal information to those who require it to develop, support, offer and deliver products and services to you. **deeproot®** does not share personal information about our customers with unaffiliated third parties for use in marketing their products and services. We may, as is necessary to conduct business, share personal information with your designated representative (and that representative's assigns), third party service providers, government agencies, other regulatory bodies and law enforcement officials, or other entities (the last only being with your consent or as directed by your representative).

Online Privacy

When you interact with us online **deeproot®** manages personal information in accordance with all of the practices and safeguards standard for the industry. Each **deeproot®** website has its own privacy policy that describes the privacy policies applicable.

deeproot® provides experiences on social media platforms that enable online sharing and collaboration among users who have registered to use them. We may collect information you provide by interacting with us via social media, such as photographs, opinions, or Twitter handle. Any content you post, such as pictures, information, opinions, or any personal information that you make available to other participants on these social platforms, becomes part of the public domain and also subject to the terms of use and privacy policies of those platforms.